

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 13425-052001	Application No. 10/037,110
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.98(b))		Applicant Patrizia Caldirola et al.			
		Filing Date October 22, 2001	Group Art Unit 1614		

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
TM	AA	6,133,287 A	October 17, 2000	Slassi et al.	514	318	
TM	AB	US 2002/0115670 A1	August 22, 2002	Kelly et al.	514	253,09	
	AC						
	AD						

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Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
TM	AE	WO 02 41889 A2	May 30, 2002	WIPO			
	AF	WO 0236562 A2	May 10, 2002	WIPO			
	AG	WO 0232863 A1	April 25, 2002	WIPO			
	AH	WO 9636611 A1	November 21, 1996	WIPO			
	AI	WO 9805315 A1	February 12, 1998	WIPO			
	AJ	WO9633171 A1	October 24, 1996	WIPO			
	AK	EP 0812826 A1	December 17, 1997	EP			
	AL	WO 9213856 A1	August 20, 1992	WIPO			
	AM	WO 9947516 A1	September 23, 1999	WIPO			
	AN	WO 9965906 A1	December 23, 1999	WIPO			
✓	AO	WO 9965492 A1	December 23, 1999	WIPO	✓	✓	

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
TM	AP	CAPLUS accession number 1993:539117					Abstract Only
	AQ	CAPLUS accession number 1996:736044					u u
	AR	CAPLUS accession number 1998:724128					u u
	AS	CAPLUS accession number 1993:495527					u u
✓	AT	CAPLUS accession number 1993:147461					u u
TM	AU	CAPLUS accession number 1999:550761					u u
Examiner Signature		Date Considered					
<i>Patrizia Caldirola et al.</i>		8/20/03					

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

O FEB 10 2003 SEARCHED SERIALIZED INDEXED 37 CFR 1.98(b) DEMA		Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13425-052001	Application No. 10/037,110
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## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document	RECEIVED FEB 12 2003 TECH CENTER 1600/2900
AV	CAPLUS accession number 1986:110010	11	11
AW	CAPLUS accession number 1979:450235	11	11
AX	CAPLUS accession number 1982:562734	11	11
AY	CAPLUS accession number 1986:186352	11	11
AZ	CAPLUS accession number 1987:156217	11	11
AAA	CAPLUS accession number 1993:101757	11	11
ABB	CAPLUS accession number 1995:751092	11	11
ACC	CAPLUS accession number 1998:265011	11	11
ADD	Issac et al., "6-Bicyclopiperazinyl-1-arylsulfonylindoles and 6-Bicyclopiperidinyl-1-arylsulfonylindoles ....., 2000, Bioorganic & Medicinal Chem. Letters, vol. 10:1719-1721		
AEE	Tsai et al., "N1-(Benzenesulfonyl)tryptamines as Novel 5-HT6 Antagonists", 2000, Bioorganic & Medicinal Chem. Letters, vol. 10:2295-2299		
AFF	CAPLUS accession number 1999:661842		
AGG	CAPLUS accession number 1998:710510		
AHH	CAPLUS accession number 1999:235586		
AII	CAPLUS accession number 2001:419497		
AJJ	CAPLUS accession number 2001:466985		
AKK	Lec et al., "5-HT6 Serotonin Receptor Binding Affinities of N1-Benzene sulfonyl ....., 2000, Med. Chem. Res., vol. 10(4):230-242		

Examiner Signature <i>James M. M. J.</i>	Date Considered <i>8/20/03</i>
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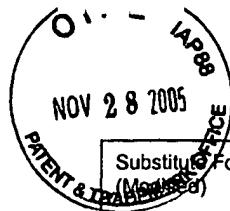
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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Gary Johansson et al.	
		Filing Date October 22, 2001	Group Art Unit 1624

U.S. Patent Documents							
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O	AA	6,133,287	Oct. 17, 2000	Slassi, et al	514	318	
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MAR 07 2002 PATENT & TRADEMARK OFFICE	AC						
	AD						
	AE						

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
TM	AF	0 909 762 A2	21.04.1999	EPO	C07F	15/00		
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TM	AI	96/03400	08.02.1996	WIPO	C07D	413/04		
TM	AJ	92/13856	20.08.1992	WIPO	C07D	417/14		
TM	AK	00/34242	15.06.2000	WIPO	C07D	209/04		
	AL							
	AM							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AN	Sakamoto, et al. <i>Condensed heteroaromatic ring systems. XIII. One-step synthesis of 2-substituted 1-methylsulfonylindoles from N-(2-Halophenyl)methanesulfonamides</i> . Chem. Pharm. Bull., 1988, Vol. 36, No. 4, pp. 1305-1308.
	AO	Isaac, et al. <i>6-Bicyclopiperazinyl-1-arylsulfonylindoles Derivatives as Novel, Potent, and Selective 5-HT<sub>6</sub> Receptor Antagonists</i> . Bioorganic & Medicinal Chemistry Letters, Vol. 10, 2000, pp. 1719-1721.
TM	AP	Tsai, et al. <i>N<sub>1</sub>-(Benzenesulfonyl)tryptamines as Novel 5-HT<sub>6</sub> Antagonists</i> . Bioorganic & Medicinal Chemistry Letters, Vol. 10, 2000, pp. 2295-2299.
TM	AQ	Lee, et al. <i>5-HT<sub>6</sub> Serotonin Receptor Binding Affinities of N<sub>1</sub>-Benzenesulfonyl and Related Tryptamines</i> . Medicinal Chemistry Research, Vol. 10, No. 4, 2000, pp. 230-242.
	AR	
	AS	

Examiner Signature <i>James M. L.</i>	Date Considered <i>10/29/01</i>
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Sheet 1 of 1

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**Information Disclosure Statement**  
by Applicant  
(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Patrizia Caldriola et al.

Filing Date  
October 22, 2001

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**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
<i>TM</i>	AA	Bentley et al., "5-HT <sub>6</sub> antisense oligonucleotide ICV affects rat performance in the water maze and feeding." <i>J Psychopharmacol Suppl</i> A64, 1997, 255.
	AB	Bentley et al., "Effect of 5-HT <sub>6</sub> antagonist Ro 04-6790 on food consumption in rats trained to a fixed feeding regime." <i>Br J Pharmacol.</i> 1999 Suppl. 126, P66.
	AC	Hirst, "5-HT <sub>6</sub> receptor and clinical possibilities, S12.2" <i>Neuroscience in Orlando</i> (Oct 31-Nov 10, 2002).
	AD	Meneses, "5-HT system and cognition", <i>Neurosci. Biobehav. Pharm.</i> 23:1111-1125 (1999).
	AE	Rogers et al., "Cognitive Enhancement Effect of Selective 5-HT <sub>6</sub> antagonist SB 271046, <i>Br. J. Pharmac.</i> , 17:22P (1999).
	AF	Ruat et al. <i>Biochem. Biophys. Res. Commun.</i> 1993, 193 (1) 268-276.
	AG	Sakamoto, et al. Condensed heteroaromatic ring systems. XIII. One-step synthesis of 2-substituted 1-methylsulfonylindoles from N-(2-halophenyl)methanesulfonamides. <i>Chem. Pharm. Bull.</i> , 1988, Vol. 36, No. 4, pp. 1305-1308.
	AH	Sebben et al., <i>NeuroReport</i> 5, 2553-2557 (1994).
<i>V</i>	AI	Williams et al., <i>Annual Reports in Medicinal chemistry</i> , D. Robertson, ed., volume 36, pages 1-5, Academic Press (2001).

Examiner Signature

Date Considered

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